

OK-7

**HAND DELIVERED**  
**JAN - 9 2009**

# KENTUCKY LEGISLATIVE ETHICS COMMISSION

## STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

☒ Check here and attach additional sheets if necessary. 6 Number of sheets attached.

### Please Include The Following Information For The Preceding Calendar Year:

Name David A Watkins  
Business address 1413 N ELM St Henderson Ky. 42420  
Business telephone 1-270 827 0064  
Home address 5600 Timberlane Dr Henderson Ky 42420  
Title of public position, or office sought State Representative Dist #11  
Other occupations of filer Family Practice  
Occupations of spouse Manager of Rental Properties

**NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.**

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation Red Banks Nursing Home Medical Director.  
2 - Mom (48) interest and partner  
(minor outpatient medical clinic)

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation Mom as above.

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more

① Greenview Apt 557 (interest) ② Meadow Apt 757 interest  
③ 2 Duplexes and 5 Houses Rental-Henderson, Ky.  
④ Herit Gray Retirement plan ⑤ IRA's See List  
⑥ Pine Farm ⑦ 20% interest in Suite at 1413 N. Elm  
4541 Henderson Ky. ⑧ Paulson's  
⑨ Now Brown's Retirement Plan, New Hantham 9 months  
last 10,000

Sources and form of gross income of the filer (list sources by name) \_\_\_\_\_

DATE 8-11-11

① Salary - Deconess Clinic

② mom - Dividends and Pay for Item Worked.

③ Ret Banks - Medical Director Salary.

④ Rental units

⑤ Farm income.

⑥ Legislative Salary.

Sources and form of gross income of the filer's spouse (list sources by name) \_\_\_\_\_

Rental units income

Positions of a fiduciary nature in a business None.

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children

① Commercial 1413 N 5th Henderson, Ky 42420 20% interest

② mom 1015 N 5th " " 42420 18% interest

③ Green View Apt + 2415 W 6th E. Henderson Ky 42420

④ 2 duplex Green River Rd Henderson Ky

⑤ Meadow Apt 7. internal ORF Green River Rd, Henderson, Ky

⑥ Farm US 46 South. 3 houses - 7 Henderson, Ky

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.)

None

The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes) BL & National Bank Knoxville Ind. Home Loan

Henderson Bank - House Loan.

Integrity Bank - Roof Atkinson Park Building

Office Loan - Old National Bank Knoxville Ind

Integrity Bank - Room Building

Integrity - Home Equity Loan.

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

None

The names of any of the filer's clients who are legislative agents or employers \_\_\_\_\_

None

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? ☐ Yes ☐ No ☒ Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

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State Agency

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**NOTICES**

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

1-8-09 JOW  
Date

[REDACTED]  
Filer

Send completed statements to:     The Kentucky Legislative Ethics Commission  
22 Mill Creek Park  
Frankfort, Kentucky 40601

If you have questions please call us at (502) 573-2863.

charlesSCHWAB

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## Positions

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Quick

Market Value Unrealized Gain / Loss Positions Monitor

	Total Account Value	Day Change
Total		

\* The Day Change (\$) and (%) are based on the sum of the dollar change in value for each position holding from the previous activity such as realized gains/losses, and deposits/withdrawals of cash or securities.

Symbol	Name ( Full   Short )	Quantity	Quote	Change	Market Value
▼ Equities					
ADVNB	ADVANTA CORP...			▼ \$0.04	
AA	ALCOA INC ...			▲ \$0.44	
ACAS	AMERICAN CAP...			▼ \$0.12	
AVE	AMERICAN INT...			▲ \$0.78	
AIG	AMERICAN INT...			▲ \$0.01	
BPT					
BAC	BANK OF AMER...				
BKHM	BOOKHAM INC ...				
BP	BP PLC ADR ...				
BMJ	BRISTOL-MYER...				
CSPI	C S P INCORP...				
CMO	CAPSTEAD MOR...				
CTLUF	CATALYST PAP...				
CVX	CHEVRON CORP...				
CTC	CIA DE TELEC...				
CSCO	CISCO SYSTEM...				
C	CITIGROUP IN...				

CRBC	CITIZENS REP...	[REDACTED]
CAG	CONAGRA FOOD...	[REDACTED]
COP	CONOCOPHILLI...	[REDACTED]
GLW	CORNING INC ...	[REDACTED]
DGII	DIGI INTERNA...	[REDACTED]
DOW	DOW CHEMICAL...	[REDACTED]
DD	DU PONT E I ...	[REDACTED]
EXM	EXCEL MARITI...	[REDACTED]
XOM	EXXON MOBIL ...	[REDACTED]
FITB	FIFTH THIRD ...	[REDACTED]
F	FORD MOTOR C...	[REDACTED]
FIG	FORTRESS INV...	[REDACTED]
FRO	FRONTLINE LT...	[REDACTED]
GE	GENERAL ELEC...	[REDACTED]
GM	GENERAL MOTO...	[REDACTED]
2168955	HENDERSON NA...	[REDACTED]
HRP	HRPT PROPERT...	[REDACTED]
IBNK	INTEGRA BANK...	[REDACTED]
INTC	INTEL CORP ...	[REDACTED]
IP	INTERNATIONA...	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]
JCI	JOHNSON CONT...	[REDACTED]
JPM	JPMORGAN CHA...	[REDACTED]
LSCC	LATTICE SEMI...	[REDACTED]
LUK	LEUCADIA NAT...	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]

<u>MXIM</u>	MAXIM INTEGR...	[REDACTED]	▼ \$0.15	[REDACTED]
<u>MSFT</u>	MICROSOFT CO...	[REDACTED]	▲ \$0.41	[REDACTED]
<u>NATR</u>	NATURES SUNS...	[REDACTED]	▲ \$0.01	[REDACTED]
<u>NWY</u>	NEW YORK & C...	[REDACTED]	▲ \$0.19	[REDACTED]
<u>JWN</u>	NORDSTROM IN...	[REDACTED]	▼ \$0.40	[REDACTED]
2859648	OCA INC ...	[REDACTED]	▲ \$0.00	[REDACTED]
<u>ONB</u>	OLD NATIONAL...	[REDACTED]	▼ \$0.03	[REDACTED]
<u>OMNI</u>	OMNI ENERGY ...	[REDACTED]	▲ \$0.00	[REDACTED]
<u>ORCL</u>	ORACLE CORPO...	[REDACTED]	▼ \$0.23	[REDACTED]
<u>OSTE</u>	OSTEOTECH IN...	[REDACTED]	▼ \$0.08	[REDACTED]
<u>PAYX</u>	PAYCHEX INC ...	[REDACTED]	▼ \$0.03	[REDACTED]
<u>PEP</u>	PEPSICO INCO...	[REDACTED]	▼ \$0.65	[REDACTED]
<u>PFE</u>	PFIZER INCOR...	[REDACTED]		[REDACTED]
<u>PDS</u>	PRECISION DR...	[REDACTED]	▼ \$0.01	[REDACTED]
<u>PG</u>	PROCTER & GA...	[REDACTED]	▼ \$0.99	[REDACTED]
<u>QCOM</u>	QUALCOMM INC...	[REDACTED]	▼ \$0.48	[REDACTED]
<u>RWT</u>	REDWOOD TRUS...	[REDACTED]	▲ \$1.06	[REDACTED]
<u>RAD</u>	RITE AID COR...	[REDACTED]	▲ \$0.01	[REDACTED]
<u>RDSA</u>	ROYAL DUTCH ...	[REDACTED]	▲ \$1.00	[REDACTED]
<u>SNY</u>	SANOFI AVENT...	[REDACTED]	▲ \$0.02	[REDACTED]
<u>SFL</u>	SHIP FINANCE...	[REDACTED]		[REDACTED]
<u>SMID</u>	SMITH MIDLAN...	[REDACTED]	▲ \$0.02	[REDACTED]
<u>SOAP</u>	SOAPSTONE NE...	[REDACTED]	▲ \$0.02	[REDACTED]
<u>SBLUQ</u>	SONICBLUE IN...	[REDACTED]	▲ \$0.00	[REDACTED]
<u>SON</u>	SONOCO PRODU...	[REDACTED]	▼ \$0.01	[REDACTED]
<u>SGRZ</u>	[REDACTED]	[REDACTED]		[REDACTED]
<u>JAVA</u>	[REDACTED]	[REDACTED]		[REDACTED]
<u>TGAL</u>	[REDACTED]	[REDACTED]		[REDACTED]
<u>SO</u>	[REDACTED]	[REDACTED]		[REDACTED]

TWX TIME WARNER ...  
 INP TSAKOS ENERG...  
 UL UNILEVER PLC...

[REDACTED]

VIG [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

ZPCM [REDACTED]

#### Fixed Income

LUCENT TECHNOLOGI  
 6.5%28DEB DUE  
 01/15/28 CUSIP:  
 549463AC1

U S WEST CAP FG  
 6.875%28NOTES DUE  
 07/15/28 CUSIP:  
 912912AQ5

#### Cash & Money Market

Cash <sup>4</sup>

\*\* The Day Change value is the dollar change in value for each position holding and is based on: Day Change = the price change x the shares/  
 change in quantity from prior day. Price change may differ from the quoted change if a security has started trading ex-dividend or undergone a  
 changes are generally not available from the fund companies until after 6:00 p.m. ET.

1 The Quote and Market Value of this position are real-time.

2 The Quote and Market Value displayed for this position are based on the most recent market quote available and may not reflect current conc

3 The Quote and Market Value displayed for this bond may not be reflective of the latest market quote. See Page Help for more details.

4 Cash may include bank deposits and non-bank balances. Bank deposits are held at Charles Schwab Bank, or one of their banking affiliates. &  
 balances which may be held at Charles Schwab & Co., Inc. and its affiliates. Non-deposit investment products are held by Charles Schwab & C  
 FDIC INSURED, MAY LOSE VALUE, AND ARE NOT BANK GUARANTEED.

Bank sweep accounts are generally held at Charles Schwab Bank. Funds deposited at Schwab Bank are insured by the Federal Deposit Insura  
 with all other deposits held by you in the same capacity at Schwab Bank. Funds on deposit at Schwab Bank are not deposits or obligations of C  
 Securities Investor Protection Corporation (SIPC). Note: On October 3, 2008, FDIC deposit insurance temporarily increased from \$100,000 to \$  
 and certain other retirement accounts for which the deposit insurance limit already was \$250,000 prior to October 3, 2008 will continue to be ins  
 limit of \$100,000 prior to October 3, 2008 will revert to the \$100,000 limit after December 31, 2009.

The Bank Sweep feature on your brokerage account is not subject to the FDIC's Transaction Account Guarantee Program. Customers with fun  
 Bank Sweep program will continue to be insured until December 31, 2009 for up to \$250,000 under the FDIC's general deposit insurance rules.

(2007-7921)

Accounts | Trade | Research | Products | Guidance | Service  
 Summary | Balances | Positions | Performance | History | Portfolio Analysis | Transfers & Payments | Message Center

Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value

Accou



RUSOURCE<sup>SM</sup>

rust Outsourcing Partners

ACCO

AC

**ASSET STATEMENT**

DESCRIPTION

INTEREST-BEARING CASH

UNION BANK OF CALIFORNIA N A  
VARIABLE RATE SAVINGS ACCT  
\*\*\*CASH MANAGEMENT SWEEP\*\*\*  
MARKET PRICE / % OF PAR 100.00%

SUBTOTAL

COMMON STOCK

ALCATEL-LUCENT-SPONSORED ADR  
SPONSORED ADR REPSTG 1/5 SH  
MARKET PRICE OF \$7.32

ALCOA INC  
COM  
MARKET PRICE OF \$36.55

AMERICAN BILTRITE INC  
COM  
MARKET PRICE OF \$5.00

AMERICAN SOFTWARE INC  
CL A COM  
MARKET PRICE OF \$8.50

BROWN FORMAN CORP  
CL A  
MARKET PRICE OF \$74.84

CHEMTURA CORP

**ASSET STATEMENT**

DESCRIPTION

COM  
MARKET PRICE OF \$7.80

COVAD COMMUNICATIONS GROUP INC  
COM  
MARKET PRICE OF \$0.86

ESCROW MIRANT CORP COM  
MARKET PRICE OF \$0.00

FEDDERS CORP  
COM  
MARKET PRICE OF \$0.002

HOME DEPOT INC  
COM  
MARKET PRICE OF \$26.94

MARATHON OIL CORP  
COM  
MARKET PRICE OF \$60.86

MCKESSON CORP  
COM  
MARKET PRICE OF \$65.51

MIRANT CORP  
COM  
MARKET PRICE OF \$38.98

MIRANT CORP-CW11 WT  
WT SER A EXP 01/08/2011  
MARKET PRICE OF \$18.41

**ASSET STATEMENT**

DESCRIPTION

NAUTILUS INC  
COM  
MARKET PRICE OF \$4.85

NGAS RES INC  
COM  
MARKET PRICE OF \$5.63

NOVELL INC  
COM  
MARKET PRICE OF \$6.87

RITE AID CORP  
COM  
MARKET PRICE OF \$2.79

SUN MICROSYSTEMS INC  
COMMON STOCK  
MARKET PRICE OF \$18.13

VASCO DATA SECURITY INTL  
COM  
MARKET PRICE OF \$27.92

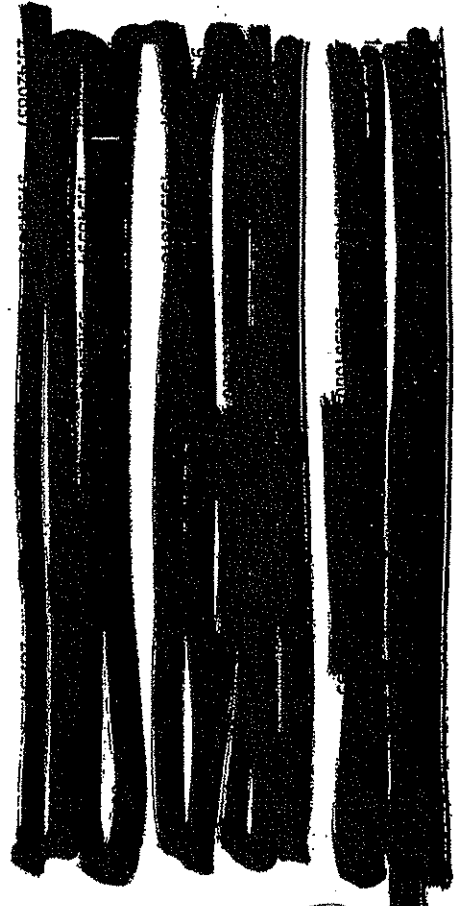
VIA NET WKS INC  
COM  
MARKET PRICE OF \$0.019

VISTEON CORP  
COM  
MARKET PRICE OF \$4.39

D Wille  
2009

Growth & Income		
MFC American Funds Am Balance	0.21%	7.00%
MFC T. Rowe Price Equity Inc	0.22	7.00
MFC Davis New York Venture	0.22	7.00
MFC Weitz Partners Value	0.19	6.00
Growth		
MFC Mutual Discovery	0.20	6.00
MFC Lord Abbett Mid Cap Value	0.20	6.00
MFC Excelsior Value & Restruct	0.23	7.00
MFC AIM Mid Cap Core Equity	0.19	6.00
MFC Oppenheimer Global	0.24	7.00
Aggressive Growth		
MFC American Funds EuroPacific	0.23	7.00
MFC Smith Barney Agg Growth	0.23	7.00
MFC American Century Small Co	0.21	6.00
MFC Royce Opportunity	0.20	6.00

Portfolio Instructions 11/07/04 12/31/04 10/07/04 12/31/04 10/07/04 12/31/04



(continued)

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Multiple Financial - [redacted]

03/07/04

Book Hem Inc BtHm

John & John JNS

Rite Aid Corporation RRD

General Electric Co GE

Fd Lb Sect Technology FSPS

FSPX11. Sect Comm Equipment

FSLY11. 11 Electonics

FDCPX. Fdls Sect computers

① [signature]

② [signature] 2008